REQUEST FOR QUOTATION [RFQ] No 11293223

FOR THE SUPPLY HYDRAULIC VALVES ON MHC 05 FOR TRANSNET SOC LTD (REG. NO. 1990/000900/30) OPERATING AS TRANSNET PORT TERMINALS (HEREINAFTER REFERRED TO AS “TPT”) AT THE PORT OF RICHARDS BAY.

ISSUE DATE: 10 FEBRUARY 2023
CLOSING DATE: 17 JANUARY 2023
CLOSING TIME: 12:00 PM

Note to the bidders:

Bidders are required to ensure that electronic bid submissions are done at least a day before the closing date to prevent issues which they may encounter due to their internet speed, bandwidth or the size of the number of uploads they are submitting. Transnet will not be held liable for any challenges experienced by bidders as a result of the technical challenges. Please do not wait for the last hour to submit. A Bidder can upload 30mb per upload and multiple uploads are permitted.

FUNCTIONALITY, TECHNICAL COMPLIANCE PREQUALIFICATION CRITERIA;

1. CIDB LEVEL 3ME
PART A
INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF TRANSNET PORT TERMINAL A DIVISION TRANSNET SOC LTD

<table>
<thead>
<tr>
<th>BID NUMBER: 11293223</th>
<th>ISSUE DATE: 10 FEB 2023</th>
<th>CLOSING DATE: 17 FEB 2023</th>
<th>CLOSING TIME: 12:00 PM</th>
</tr>
</thead>
</table>

DESCRIPTION

FOR THE SUPPLY HYDRAULIC VALVES ON MHC 05 FOR TRANSNET SOC LTD (REG. NO. 1990/000900/30) OPERATING AS TRANSNET PORT TERMINALS (HEREINAFTER REFERRED TO AS “TPT”) AT THE PORT OF RICHARDS BAY.

BID RESPONSE DOCUMENTS SUBMISSION

Transnet has implemented a new electronic tender submission system, the e-Tender Submission Portal, in line with the overall Transnet digitalization strategy where suppliers can view advertised tenders, register their information, log their intent to respond to bids and upload their bid proposals/responses on to the system.

RESPONDENTS ARE TO UPLOAD THEIR BID RESPONSE PROPOSALS ONTO THE TRANSNET SYSTEM AGAINST EACH TENDER/RFQ SELECTED.

The Transnet e-Tender Submission Portal can be accessed as follows:

- Log on to the Transnet eTenders management platform website/Portal (transnetetenders.azurewebsites.net) (please use Google Chrome to access Transnet link/site free of charge);
- Click on “ADVERTISED TENDERS” to view advertised tenders;
- Click on “SIGN IN/REGISTER” – for bidder to register their information (must fill in all mandatory information);
- Click on “SIGN IN/REGISTER” - to sign in if already registered;
- Toggle (click to switch) the “Log an Intent” button to submit a bid;
- Submit bid documents by uploading them into the system against each tender selected.
- No late submissions will be accepted. The bidder guide can be found on the Transnet Portal transnetetenders.azurewebsites.net

BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO

<table>
<thead>
<tr>
<th>CONTACT PERSON</th>
<th>Shaun Sewraj</th>
</tr>
</thead>
<tbody>
<tr>
<td>TELEPHONE NUMBER</td>
<td>035 905 3255</td>
</tr>
<tr>
<td>FACSIMILE NUMBER</td>
<td>N/A</td>
</tr>
<tr>
<td>E-MAIL ADDRESS</td>
<td><a href="mailto:Shaun.sewraj@transnet.net">Shaun.sewraj@transnet.net</a></td>
</tr>
</tbody>
</table>

TECHNICAL ENQUIRIES MAY BE DIRECTED TO:

<table>
<thead>
<tr>
<th>CONTACT PERSON</th>
<th>Shaun Sewraj</th>
</tr>
</thead>
<tbody>
<tr>
<td>TELEPHONE NUMBER</td>
<td>035 905 3255</td>
</tr>
<tr>
<td>FACSIMILE NUMBER</td>
<td>N/A</td>
</tr>
<tr>
<td>E-MAIL ADDRESS</td>
<td><a href="mailto:Shaun.sewraj@transnet.net">Shaun.sewraj@transnet.net</a></td>
</tr>
</tbody>
</table>

SUPPLIER INFORMATION

NAME OF BIDDER

POSTAL ADDRESS

STREET ADDRESS

<table>
<thead>
<tr>
<th>TELEPHONE NUMBER</th>
<th>CODE</th>
<th>NUMBER</th>
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<tbody>
<tr>
<td>CELLPHONE NUMBER</td>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>FACSIMILE NUMBER</th>
<th>CODE</th>
<th>NUMBER</th>
</tr>
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<tbody>
<tr>
<td>E-MAIL ADDRESS</td>
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<table>
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<tr>
<th>VAT REGISTRATION NUMBER</th>
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<table>
<thead>
<tr>
<th>SUPPLIER COMPLIANCE STATUS</th>
<th>TAX COMPLIANCE SYSTEM PIN: OR</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>CENTRAL SUPPLIER DATABASE</td>
</tr>
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</table>

<table>
<thead>
<tr>
<th>B-BBEE STATUS LEVEL</th>
<th>B-BBEE STATUS LEVEL</th>
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</thead>
<tbody>
<tr>
<td>VERIFICATION CERTIFICATE</td>
<td>SWORN AFFIDAVIT</td>
</tr>
</tbody>
</table>

<p>| | |</p>
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<tr>
<td>✔ Yes</td>
<td>☐ No</td>
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<tr>
<td>☐ Yes</td>
<td>[TICK APPLICABLE BOX]</td>
</tr>
</tbody>
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<p>| | |</p>
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<th></th>
<th></th>
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<tbody>
<tr>
<td>☐ Yes</td>
<td>☐ No</td>
</tr>
</tbody>
</table>
[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT MUST BE SUBMITTED FOR PURPOSES OF COMPLIANCE WITH THE B-BBEE ACT]

<table>
<thead>
<tr>
<th>1</th>
<th>ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?</th>
<th>Yes</th>
<th>No</th>
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</table>

<table>
<thead>
<tr>
<th>2</th>
<th>ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?</th>
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<tr>
<td></td>
<td>[IF YES ENCLOSE PROOF]</td>
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</table>

QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DOES THE ENTITY HAVE A BRANCH IN THE RSA?</td>
<td></td>
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</tr>
<tr>
<td>DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?</td>
<td></td>
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</tr>
<tr>
<td>DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?</td>
<td></td>
<td></td>
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<tr>
<td>IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?</td>
<td></td>
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</table>

IF THE ANSWER IS “NO” TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 1.3 BELOW.

PART B
TERMS AND CONDITIONS FOR BIDDING

1. TAX COMPLIANCE REQUIREMENTS

1.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.

1.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER’S PROFILE AND TAX STATUS.

1.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.

1.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.

1.5 IN BIDS WHERE UNINCORPORATED CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.

1.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER: ..................................................

CAPACITY UNDER WHICH THIS BID IS SIGNED: ..................................................

(Proof of authority must be submitted e.g. company resolution)

DATE:________
SECTION 2: NOTICE TO BIDDERS

1 Responses to RFQ

Responses to this RFQ [Quotations] must not include documents or reference relating to any other quotation or proposal. Any additional conditions must be embodied in an accompanying letter.

2 Formal Briefing

A formal briefing session will not be held but should Respondents have specific queries they should email these to the Transnet employee(s) indicated in paragraph 3 [Communication] below:

3 Communication

3.1 Specific queries relating to this RFQ before the closing date of the RFQ should be submitted onto the system and to [shaun.sewraj@transnet.net] before 12:00 pm on 16 February 2023. In the interest of fairness and transparency Transnet’s response to such a query will then be made available to other bidders.

3.2 It is prohibited for Respondents to attempt, either directly or indirectly, to canvass any officer or employee of Transnet in respect of this RFQ between the closing date and the date of the award of the business.

3.3 Respondents found to be in collusion with one another will be automatically disqualified and restricted from doing business with organs of state for a specified period.

3.4 Respondents may also, at any time after the closing date of the RFQ, communicate with the Shaun Sewraj on any matter relating to its RFQ response:

   Telephone 035 905 3255  Email: Shaun.sewraj@transnet.net

3.5 All unsuccessful bidders have a right to request Transnet to furnish individual reasons for their bid not being successful. This requested must be directed to the contact person stated in the SBD 1 form

4 Legal Compliance

The successful Respondent shall be in full and complete compliance with any and all applicable national and local laws and regulations.

5 Employment Equity Act

Respondents must comply with the requirements of the Employment Equity Act 55 of 1998 applicable to it including (but not limited to) Section 53 of the Employment Equity Act.

6 Changes to Quotations

Changes by the Respondent to its submission will not be considered after the closing date and time.

7 Binding Offer

Any Quotation furnished pursuant to this Request shall be deemed to be an offer. Any exceptions to this statement must be clearly and specifically indicated.

8 Disclaimers

8.1 Respondents are hereby advised that Transnet is not committed to any course of action as a result of its issuance of this RFQ and/or its receipt of a Quotation in response to it. Please note that Transnet reserves the right to:
modify the RFQ's goods / service(s) and request Respondents to re-bid on any changes;
reject any Quotation which does not conform to instructions and specifications which are detailed herein;
disqualify Quotations submitted after the stated submission deadline;
not necessarily accept the lowest priced Quotation or an alternative bid;
place an order in connection with this Quotation at any time after the RFQ's closing date;
award only a portion of the proposed goods / services which are reflected in the scope of this RFQ;
split the award of the order/s between more than one Supplier/Service Provider should it at Transnet's discretion be more advantageous in terms of, amongst others, cost or developmental considerations;
cancel the quotation process;
validate any information submitted by Respondents in response to this bid. This would include, but is not limited to, requesting the Respondents to provide supporting evidence. By submitting a bid, Respondents hereby irrevocably grant the necessary consent to Transnet to do so;
request audited financial statements or other documentation for the purposes of a due diligence exercise;
not accept any changes or purported changes by the Respondent to the bid rates after the closing date and/or after the award of the business, unless the contract specifically provides for it;
to cancel the contract and/request that National Treasury place the Respondent on its Database of Restricted Suppliers for a period not exceeding 10 years, on the basis that a contract was awarded on the strength of incorrect information furnished by the Respondent or on any other basis recognised in law;
award the business to the next ranked bidder, provided that he/she is still prepared to provide the required Goods/Services at the quoted price, should the preferred bidder fail to sign or commence with the contract within a reasonable period after being requested to do so. Under such circumstances, the validity of the bids of the next ranked bidder(s) will be deemed to remain valid, irrespective of whether the next ranked bidder(s) were notified of their bid being unsuccessful. Bidders may therefore be requested to advise whether they would still be prepared to provide the required Goods/Services at their quoted price.

9 Specification/Scope of Work
Kindly refer to Scope of Work- Annexure A

10 Legal review
A Proposal submitted by a Respondent will be subjected to review and acceptance or rejection of its proposed contractual terms and conditions by Transnet’s Legal Counsel, prior to consideration for an award of business.

11 Security clearance
Acceptance of this bid could be subject to the condition that the Successful Respondent, its personnel providing the goods and its subcontractor(s) must obtain security clearance from the appropriate authorities to the level of CONFIDENTIAL/ SECRET/TOP SECRET. Obtaining the required clearance is the responsibility of the Successful Respondent. Acceptance of the bid is also subject to the condition that the Successful Respondent will implement all such security measures as the safe performance of the contract may require.
12 National Treasury's Central Supplier Database

Respondents are required to self-register on National Treasury's Central Supplier Database (CSD) which has been established to centrally administer supplier information for all organs of state and facilitate the verification of certain key supplier information. Transnet is required to ensure that price quotations are invited and accepted from prospective bidders listed on the CSD. Business may not be awarded to a respondent who has failed to register on the CSD. Only foreign suppliers with no local registered entity need not register on the CSD. The CSD can be accessed at https://secure.csd.gov.za/.

For this purpose, the attached SBD 1 Form must be completed and submitted as a mandatory returnable document by the closing date and time of the bid.

13 Tax Compliance

Respondents must be compliant when submitting a proposal to Transnet and remain compliant for the entire contract term with all applicable tax legislation, including but not limited to the Income Tax Act, 1962 (Act No. 58 of 1962) and Value Added Tax Act, 1991 (Act No. 89 of 1991).

It is a condition of this bid that the tax matters of the successful Respondents be in order, or that satisfactory arrangements have been made with South African Revenue Service (SARS) to meet the Respondents tax obligations.

The Tax Compliance status requirements are also applicable to foreign Respondents/ individuals who wish to submit bids.

Where Consortia / Joint Ventures / Sub-contractors are involved, each party must be registered on the Central Supplier Database and their tax compliance status will be verified through the Central Supplier Database.

Transnet urges its clients, suppliers and the general public to report any fraud or corruption to TIP-OFFS ANONYMOUS:

You can choose to be Anonymous or Non-Anonymous on ANY of the platforms

PLEASE RETAIN YOUR REFERENCE NUMBER

- **Al Voice Bot “Jack”**
  Speak to our AI Voice Chat Bot “JACK”, you converse with him like chatting to a human, with the option to record a message and speak to an agent at anytime.

- **What's App**
  Speak to an Agent via What’s App.

- **Speak to an Agent**
  Speak to an Agent via the platform with no call or data charge

- **Telegram**
  Speak to an Agent via Telegram

0800 003 056 086 551 4153 reportit@ethicshelpdesk.com +27 086 551 4153
SECTION 3
EVALUATION METHODOLOGY, CRITERIA AND RETURNABLE DOCUMENTS

1 Evaluation Criteria
Transnet will utilise the following methodology and criteria in selecting a preferred Supplier/Service provider:

<table>
<thead>
<tr>
<th>STAGE 1: TEST FOR RESPONSIVENESS</th>
<th>STAGE 2</th>
<th>STAGE 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Step 1: Administrative responsiveness</td>
<td>Step 2: Substantive responsiveness</td>
<td>Step 3: MINIMUM THRESHOLDS</td>
</tr>
<tr>
<td>Returnable documents/ schedules</td>
<td>Pre-qualification</td>
<td>Functionality/ technical Minimum Threshold</td>
</tr>
<tr>
<td>Technical Pre-qualification Criteria/minimum requirements: - CIDB Level 2</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1.1 STEP ONE: Test for Administrative Responsiveness
The test for administrative responsiveness will include the following:

<table>
<thead>
<tr>
<th>Administrative responsiveness check</th>
<th>RFQ Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>- Whether the Bid has been lodged on time</td>
<td></td>
</tr>
<tr>
<td>- Whether all Returnable Documents and/or schedules [where applicable] were completed and returned by the closing date and time</td>
<td>Section 3</td>
</tr>
<tr>
<td>- Verify the validity of all returnable documents</td>
<td>Section 3</td>
</tr>
<tr>
<td>- Verify if the Bid document has been duly signed by the authorised respondent</td>
<td>All sections</td>
</tr>
</tbody>
</table>
The test for administrative responsiveness [Step One] must be passed for a Respondent’s Proposal to progress to Step Two for further pre-qualification

1.2  **STEP TWO: Test for Substantive Responsiveness to RFQ**

The test for substantive responsiveness to this RFQ will include the following:

<table>
<thead>
<tr>
<th>Check for substantive responsiveness</th>
<th>RFQ Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Whether any general and legislation qualification criteria (excluding preferential procurement) set by Transnet, have been met</td>
<td>All sections</td>
</tr>
<tr>
<td>• Whether the Bid contains a priced offer</td>
<td>Section 4 - Quotation Form</td>
</tr>
<tr>
<td>• Whether the Bid materially complies with the scope and/or specification given</td>
<td>All Sections</td>
</tr>
<tr>
<td>• Whether any Technical pre-qualification/eligibility criteria set by Transnet have been met as follows:</td>
<td>Section 2 – paragraph 10 (Scope of Work)</td>
</tr>
<tr>
<td>- CIDB level 3ME</td>
<td></td>
</tr>
</tbody>
</table>

The test for substantive responsiveness [Step Two] must be passed for a Respondent’s proposal to progress to Step Three for further evaluation

1.3  **STEP THREE: Minimum Threshold 70 points for Technical Criteria**

The test for the Technical and Functional threshold will include the following:

<table>
<thead>
<tr>
<th>Technical Evaluation Criteria</th>
<th>Points Weightings</th>
<th>Scoring guideline</th>
</tr>
</thead>
<tbody>
<tr>
<td>CIDB</td>
<td>N/A</td>
<td>Yes/no</td>
</tr>
<tr>
<td>CIDB registration 3ME</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>COMPETENCY</strong></td>
<td>N/A</td>
<td>Yes/no</td>
</tr>
<tr>
<td>The service provider must send proof of similar work done (major hydraulic work) in the past 2 years by sending 2 purchase orders with the value of at least R200k</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Respondents must complete and submit **Technical Questionnaire**. A Respondent’s compliance with the minimum functionality/technical threshold will be measured by their responses.

Respondents are to note that Transnet will round off final technical scores to the nearest 2 (two) decimal places for the purposes of determining whether the technical threshold has been met.

The minimum threshold for technical/functionality [Step Three] must be met or exceeded for a Respondent’s Proposal to progress to Step Four for final evaluation
1.4 STEP FOUR: Evaluation and Final Weighted Scoring

a) **Price** [Weighted score 80 points]:

<table>
<thead>
<tr>
<th>Evaluation Criteria</th>
<th>RFP Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Commercial offer</td>
<td></td>
</tr>
</tbody>
</table>

Transnet will utilise the following formula in its evaluation of Price:

\[
PS = 80 \left( 1 - \frac{Pt-Pmin}{Pmin} \right)
\]

Where:
- \( PS \) = Score for the Bid under consideration
- \( Pt \) = Price of Bid under consideration
- \( Pmin \) = Price of lowest acceptable Bid

b) **Broad-Based Black Economic Empowerment criteria** [Weighted score 20 points]

- B-BBEE - current scorecard / B-BBEE Preference Points Claims Form
- Preference points will be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table indicated in Section 4.1 of the B-BBEE Preference Points Claim Form.

1.5 STEP FIVE: Post Tender Negotiations (if applicable)

- Respondents are to note that Transnet may not award a contract if the price offered is not market-related.
  - In this regard, Transnet reserves the right to engage in PTN with the view to achieving a market-related price or to cancel the tender. Negotiations will be done in a sequential manner i.e.:
  - first negotiate with the highest ranked bidder or cancel the bid, should such negotiations fail,
  - negotiate with the 2nd and 3rd ranked bidders (if required) in a sequential manner.
- In the event of any Respondent being notified of such short-listed/preferred bidder status, his/her bid, as well as any subsequent negotiated best and final offers (BAFO), will automatically be deemed to remain valid during the negotiation period and until the ultimate award of business.
- Should Transnet conduct post tender negotiations, Respondents will be requested to provide their best and final offers to Transnet based on such negotiations. Where a market related price has been achieved through negotiation, the contract will be awarded to the successful Respondent(s).

1.6 STEP SIX: Objective Criteria (if applicable)

Transnet reserves the right to award the business to the highest scoring bidder/s unless objective criteria justify the award to another bidder. The objective criteria Transnet may apply in this bid process include:

- Geographical location;
- all Risks identified during a risk assessment exercise/probity check (which may be conducted by an authorised third party) that would be done to assess all risks, including but not limited to:
  - the financial stability of the bidder based on key ratio analysis, which would include, but not be limited to Efficiency, Profitability, Financial Risk, Liquidity, Acid Test, and Solvency;
  - a due diligence to assess functional capability and capacity. This could include a site visit;
- A commercial relationship with a Domestic Prominent Influential Person (DPIP) or Foreign Prominent Public Official (FPPO) or an entity of which such person or official is the beneficial owner; and
- Reputational and Brand risks

1.7 STEP SEVEN: Award of business and conclusion of contract

- Immediately after approval to award the contract has been received, the successful or preferred bidder(s) will be informed of the acceptance of his/their Quotation by way of a Letter of Award. Thereafter the final contract will be concluded with the successful Respondent(s).
- Otherwise, a final contract will be concluded and entered into with the successful Bidder at the acceptance of a letter of award by the Respondent.

2 Validity Period

Transnet requires a validity period of 90 [ninety] Business Days from the closing date of this RFQ, excluding the first day and including the last day.

Bidders are to note that they may be requested to extend the validity period of their bid, on the same terms and conditions, if the internal evaluation process has not been finalised within the validity period. However, once the adjudication body has approved the process and award of the business to the successful bidder(s), the validity of the successful bidder(s)’ bid will be deemed to remain valid until a final contract has been concluded.

3 Disclosure of contract information

Johannesburg Stock Exchange Debt Listing Requirements

Transnet may also be required to disclose information relating to the subsequent contract i.e. the name of the company, goods/services provided by the company, the value and duration of the contract, etc. in compliance with the Johannesburg Stock Exchange (JSE) Debt Listing Requirements.

Domestic Prominent Influential Persons (DPIP) OR Foreign Prominent Public Officials (FPPO)

Transnet is free to procure the services of any person within or outside the Republic of South Africa in accordance with applicable legislation. Transnet shall not conduct or conclude business transactions, with any Respondents without having:
- Considered relevant governance protocols;
- Determined the DPIP or FPPO status of that counterparty; and
- Conducted a risk assessment and due diligence to assess the potential risks that may be posed by the business relationship.

As per the Transnet Domestic Prominent Influential Persons (DPIP) and Foreign Prominent Public Officials (FPPO) and Related Individuals Policy available on Transnet website [https://www.transnet.net/search/pages/results.aspx?k=FPIDP#k=DPIP](https://www.transnet.net/search/pages/results.aspx?k=FPIDP#k=DPIP), Respondents are required to disclose any commercial relationship with a DPIP or FPPO (as defined in the Policy) by completing the following section:
The below form contains personal information as defined in the Protection of Personal Information Act, 2013 (the "Act"). By completing the form, the signatory consents to the processing of her/his personal information in accordance with the requirements of the Act. Consent cannot unreasonably be withheld.

**Is the Respondent**
*(Complete with a "Yes" or "No")*

<table>
<thead>
<tr>
<th>A DPIP/FPPO</th>
<th>Closely Related to a DPIP/FPPO</th>
<th>Closely Associated to a DPIP/FPPO</th>
</tr>
</thead>
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**List all known business interests, in which a DPIP/FPPO may have a direct/indirect interest or significant participation or involvement.**

<table>
<thead>
<tr>
<th>No</th>
<th>Name of Entity / Business</th>
<th>Role in the Entity / Business (Nature of interest/Participation)</th>
<th>Shareholding %</th>
<th>Registration Number</th>
<th>Status <em>(Mark the applicable option with an X)</em></th>
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</tbody>
</table>

Respondents declaring a commercial relationship with a DPIP or FPPO are to note that Transnet is required to annually publish on its website a list of all business contracts entered into with DPIP or FPPO. This list will include successful Respondents, if applicable.

4  **Returnable Documents**

**Returnable Documents** means all the documents, Sections and Annexures, as listed in the tables below. There are three types of returnable documents as indicated below and Respondents are urged to ensure that these documents are returned with their bids based on the consequences of non-submission as indicated below:

<table>
<thead>
<tr>
<th>Mandatory Returnable Documents</th>
<th>Failure to provide all these Mandatory Returnable Documents at the Closing Date and time of this RFQ will result in a Respondent’s disqualification.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Returnable Documents Used for Scoring</td>
<td>Failure to provide all Returnable Documents used for purposes of scoring a bid, by the closing date and time of this bid will not result in a Respondent’s disqualification. However, Bidders will receive an automatic score of zero for the applicable evaluation criterion.</td>
</tr>
<tr>
<td>Essential Returnable Documents</td>
<td>Failure to provide essential Returnable Documents will result in Transnet affording Respondents a further opportunity to submit by a set deadline. Should a Respondent thereafter fail to submit the requested documents, this may result in a Respondent’s disqualification.</td>
</tr>
</tbody>
</table>

All Returnable Sections, as indicated in the header and footer of the relevant pages, must be signed, stamped and dated by the Respondent.
a) Mandatory Returnable Documents

Respondents are required to submit with their bid submissions the following Mandatory Returnable Documents, and also to confirm submission of these documents by so indicating [Yes or No] in the tables below:

<table>
<thead>
<tr>
<th>Mandatory Returnable Documents</th>
<th>Submitted [Yes or No]</th>
</tr>
</thead>
<tbody>
<tr>
<td>SECTION 1: SBD1 Form</td>
<td></td>
</tr>
<tr>
<td>SECTION 4: Quotation Form</td>
<td></td>
</tr>
<tr>
<td>ANNEXURE B Technical Pre-Qualification</td>
<td></td>
</tr>
</tbody>
</table>

b) Returnable Documents Used for Scoring

In addition to the requirements of section (a) above, Respondents are further required to submit with their Proposals the following Returnable Documents Used for Scoring and also to confirm submission of these documents by so indicating [Yes or No] in the table below:

<table>
<thead>
<tr>
<th>RETURNABLE DOCUMENTS USED FOR SCORING</th>
<th>SUBMITTED [Yes or No]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Valid proof of Respondent's compliance to B-BBEE requirements stipulated in Section 8 of this RFP (Valid B-BBEE certificate or Sworn Affidavit)</td>
<td></td>
</tr>
<tr>
<td>Previous Work Experience</td>
<td></td>
</tr>
<tr>
<td>Submission of reference letters from companies that bidder has supplied similar (PPE) service to in the past 2 years with traceable references and values of contracts/transactions.</td>
<td></td>
</tr>
<tr>
<td><strong>Returnable Schedule 1</strong></td>
<td></td>
</tr>
<tr>
<td>Lead Time</td>
<td></td>
</tr>
<tr>
<td>Lead time from PO award to delivery, issue and distribution to the employee</td>
<td></td>
</tr>
<tr>
<td>• Delivery of the requested PPE from the time the PO issued to the Supplier:</td>
<td></td>
</tr>
<tr>
<td><strong>Returnable Schedule 2</strong></td>
<td></td>
</tr>
</tbody>
</table>
c) **Essential Returnable Documents:**

Over and the above the requirements of section (a) and (b) mentioned above, Respondents are further required to submit with their Proposals the following **Essential Returnable Documents** and also to confirm submission of these documents by so indicating [Yes or No] in the table below:

<table>
<thead>
<tr>
<th>ESSENTIAL RETURNABLE DOCUMENTS &amp; SCHEDULES</th>
<th>SUBMITTED [Yes or No]</th>
</tr>
</thead>
<tbody>
<tr>
<td>In the case of Joint Ventures, a copy of the Joint Venture Agreement or written confirmation of the intention to enter into a Joint Venture Agreement</td>
<td></td>
</tr>
<tr>
<td>Proof of Registration with the National Treasury Central Supplier Database (CSD)</td>
<td></td>
</tr>
<tr>
<td>Letter of Good Standing (COID)</td>
<td></td>
</tr>
<tr>
<td>Tax Clearance Certificate with electronic access PIN obtained from SARS new Tax Compliance Status (TCS) system.</td>
<td></td>
</tr>
</tbody>
</table>

**SECTION 3: Evaluation Methodology, Criteria And Returnable Documents**

**SECTION 5: Certificate of Acquaintance with RFQ Documents**

**SECTION 6: RFQ Declaration and Breach of Law Form**

**SECTION 7: B-BBEE Preference Claim Form**

**5 CONTINUED VALIDITY OF RETURNABLE DOCUMENTS**

The successful Respondent will be required to ensure the validity of all returnable documents, including but not limited to its valid proof of B-BBEE status, for the duration of any contract emanating from this RFQ. Should the Respondent be awarded the contract [the Agreement] and fail to present Transnet with such renewals as and when they become due, Transnet shall be entitled, in addition to any other rights and remedies that it may have in terms of the eventual Agreement, to terminate such Agreement immediately without any liability and without prejudice to any claims which Transnet may have for damages against the Respondent.
SECTION 4
QUOTATION FORM

I/We______________________________

hereby offer to supply the goods/services at the prices quoted in the Price Schedule below, in accordance with
the conditions related thereto.

I/We agree to be bound by those terms and conditions in:

• the Standard RFQ Terms and Conditions for the Supply of Goods or Services to Transnet; and
• any other standard or special conditions embodied in this Request for Quotation.

I/We accept that unless Transnet should otherwise decide and so inform me/us, this Quotation [and, if any,
its covering letter and any subsequent exchange of correspondence], together with Transnet’s acceptance
thereof shall constitute a binding contract between Transnet and me/us. I/We further agree that if, after I/we
have been notified of the acceptance of my/our Quotation, I/we fail to deliver the said goods/service/s within
the delivery lead-time quoted, Transnet may, without prejudice to any other legal remedy which it may have,
cancel the order and recover from me/us any expenses incurred by Transnet in calling for Quotations afresh
and/or having to accept any less favourable offer.

Price Schedule

I/We quote as follows for the goods/services required, on a “delivered nominated destination” basis, including
VAT:

<table>
<thead>
<tr>
<th>Item No</th>
<th>Description of Item</th>
<th>Unit</th>
<th>Quantity</th>
<th>Rate</th>
<th>TOTAL PRICE OF ITEM [ZAR]</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Replace hydraulic valves on MHC 05 as per SOW</td>
<td>each</td>
<td>1</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

TOTAL PRICE, exclusive of VAT:

- VAT 15% (if applicable)
- Unconditional Discount(s)

Total Inclusive of VAT (where applicable)

Delivery Lead-Time from date of purchase order: ________________ [days/weeks]

Respondents are to note that Transnet will round off final pricing scores to the nearest 2 (two) decimal
places.

Notes to Pricing:

a) Respondents are to note that if the price offered by the highest scoring bidder is not market-related,
Transnet may not award the contract to that Respondent. Transnet may-

   (i) negotiate a market-related price with the Respondent scoring the highest points or cancel the RFQ;
(ii) if that Respondent does not agree to a market-related price, negotiate a market-related price with the Respondent scoring the second highest points or cancel the RFQ;

(iii) if the Respondent scoring the second highest points does not agree to a market-related price, negotiate a market-related price with the Respondent scoring the third highest points or cancel the RFQ.

If a market-related price is not agreed with the Respondent scoring the third highest points, Transnet must cancel the RFQ.

b) All Prices must be quoted in South African Rand, inclusive of VAT

c) Any disbursement not specifically priced for will not be considered/accepted by Transnet.

d) To facilitate like-for-like comparison bidders must submit pricing strictly in accordance with this price schedule and not utilise a different format. Deviation from this pricing schedule could result in a bid being disqualified.

e) Please note that should you have offered a discounted price(s), Transnet will only consider such price discount(s) in the final evaluation stage if offered on an unconditional basis.
SECTION 5

CERTIFICATE OF ACQUAINTANCE WITH RFQ DOCUMENTS

By signing this certificate the Respondent is deemed to acknowledge that he/she has made himself/herself thoroughly familiar with, and agrees with all the conditions governing this RFQ. This includes those terms and conditions contained in any printed form stated to form part hereof, including but not limited to the documents stated below. As such, Transnet will recognise no claim for relief based on an allegation that the Respondent overlooked any such term or condition or failed properly to take it into account in calculating tendered prices or any other purpose:

1. Transnet’s General Bid Conditions
2. Standard RFQ Terms and Conditions for the supply of Goods or Services to Transnet
3. Transnet’s Supplier Integrity Pact
4. Non-disclosure Agreement

**Note:** Should a Respondent be successful and awarded the bid, they will be required to complete a Supplier Declaration Form for registration as a vendor onto the Transnet vendor master database.

Should the Bidder find any terms or conditions stipulated in any of the relevant documents quoted in the RFQ unacceptable, it should indicate which conditions are unacceptable and offer alternatives by written submission on its company letterhead, attached to its submitted Bid. Any such submission shall be subject to review by Transnet’s Legal Counsel who shall determine whether the proposed alternative(s) are acceptable or otherwise, as the case may be. A material deviation from the Standard terms or conditions could result in disqualification.

Bidders accept that an obligation rests on them to clarify any uncertainties regarding any bid to which they intend to respond, before submitting the bid. The Bidder agrees that he/she will have no claim or cause of action based on an allegation that any aspect of this RFQ was unclear but in respect of which he/she failed to obtain clarity.

The bidder understands that his/her Bid will be disqualified if this Certificate of Acquaintance with RFQ documents included in the RFQ as a returnable document, is found not to be true and/or complete in every respect.

SIGNED at ___________________________ on this _____ day of __________________________ 20___

SIGNATURE OF WITNESSES            ADDRESS OF WITNESSES

1 _____________________            _______________________________________
Name _________________            _________________________ ______________

2 _____________________            _______________________ ________________
Name _________________            ________________________ _______________

SIGNATURE OF RESPONDENT’S AUTHORISED REPRESENTATIVE: ___________________________
NAME: __________________________________________
DESIGNATION: ____________________________________

_________________________        ____________________________
Respondent’s Signature            Date & Company Stamp
SECTION 6

RFQ DECLARATION AND BREACH OF LAW FORM

NAME OF ENTITY: ____________________________________________

We ___________________________________________________________ do hereby certify that:

1. Transnet has supplied and we have received appropriate responses to any/all questions [as applicable] which were submitted by ourselves for RFQ Clarification purposes;

2. We have received all information we deemed necessary for the completion of this Request for Quotation [RFQ];

3. We have been provided with sufficient access to the existing Transnet facilities/sites and all relevant information relevant to the Supply of the Goods as well as Transnet information and Employees, and have had sufficient time in which to conduct and perform a thorough due diligence of Transnet’s operations and business requirements and assets used by Transnet. Transnet will therefore not consider or permit any pre- or post-contract verification or any related adjustment to pricing, service levels or any other provisions/conditions based on any incorrect assumptions made by the Respondent in arriving at his Bid Price.

4. At no stage have we received additional information relating to the subject matter of this RFQ from Transnet sources, other than information formally received from the designated Transnet contact(s) as nominated in the RFQ documents;

5. We have complied with all obligations of the Bidder/Supplier as indicated in the Transnet Supplier Integrity Pact which includes but are not limited to ensuring that we take all measures necessary to prevent corrupt practices, unfairness and illegal activities in order to secure or in furtherance to secure a contract with Transnet;

6. We are satisfied, insofar as our entity is concerned, that the processes and procedures adopted by Transnet in issuing this RFQ and the requirements requested from Bidders in responding to this RFQ have been conducted in a fair and transparent manner;

7. We declare that a family, business and/or social relationship exists / does not exist [delete as applicable] between an owner / member / director / partner / shareholder of our entity and an employee or board member of Transnet including any person who may be involved in the evaluation and/or adjudication of this Bid;

8. We declare that an owner / member / director / partner / shareholder of our entity is / is not [delete as applicable] an employee or board member of the Transnet;

9. In addition, we declare that an owner / member / director / partner / shareholder/employee of our entity has / has not been [delete as applicable] a former employee or board member of Transnet in the past 10 years. I further declare that if they were a former employee or board member of Transnet in the past 10 years that they were/were not involved in the bid preparation or had access to the information related to this RFQ; and

10. If such a relationship as indicated in paragraph 7, 8 and/or 9 exists, the Respondent is to complete the following section:

__________________________________________________________________________

Respondent's Signature ____________________________ Date & Company Stamp
FULL NAME OF OWNER/MEMBER/DIRECTOR/ PARTNER/SHAREHOLDER/EMPLOYEE:          ADDRESS:

________________________________________________________________________________
________________________________________________________________________________

Indicate nature of relationship with Transnet:

________________________________________________________________________________

[Failure to furnish complete and accurate information in this regard will lead to the disqualification of a response and may preclude a Respondent from doing future business with Transnet]. Information provided in the declarations may be used by Transnet and/or its affiliates to verify the correctness of the information provided.

11. We declare, to the extent that we are aware or become aware of any relationship between ourselves and Transnet [other than any existing and appropriate business relationship with Transnet] which could unfairly advantage our entity in the forthcoming adjudication process, we shall notify Transnet immediately in writing of such circumstances.

BIDDER’S DISCLOSURE (SBD4)

12 PURPOSE OF THE FORM

12.1 Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

12.2 Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

13 Bidder’s declaration

13.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest\(^1\) in the enterprise, employed by the state?

YES/NO

13.1.1. If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

<table>
<thead>
<tr>
<th>Full Name</th>
<th>Identity Number</th>
<th>Name of State institution</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

\(^1\) the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.
13.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? 

**YES/NO**

13.2.1. If so, furnish particulars:

………………………………………………………………………………..
………………………………………………………………………………..

13.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract?

**YES/NO**

13.3.1. If so, furnish particulars:

………………………………………………………………………………..
………………………………………………………………………………..

14 **DECLARATION**

I, the undersigned, (name)............................................................................. in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

14.1 I have read and I understand the contents of this disclosure;

14.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;

14.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium\(^2\) will not be construed as collusive bidding.

14.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.

\(^2\) Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.
14.5 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

14.6 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

14.7 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 12, 13 and 14 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

BREACH OF LAW

12. We further hereby certify that I/we have not been [delete as applicable] found guilty during the preceding 5 [five] years of a serious breach of law, including but not limited to a breach of the Competition Act, 89 of 1998, by a court of law, tribunal or other administrative body. The type of breach that the Respondent is required to disclose excludes relatively minor offences or misdemeanours, e.g. traffic offences. This includes the imposition of an administrative fine or penalty.

Where found guilty of such a serious breach, please disclose:

NATURE OF BREACH: ________________________________________________________________

DATE OF BREACH: _____________________________

Furthermore, I/we acknowledge that Transnet SOC Ltd reserves the right to exclude any Respondent from the bidding process, should that person or entity have been found guilty of a serious breach of law, tribunal or regulatory obligation.
<table>
<thead>
<tr>
<th>For and on behalf of</th>
<th>AS WITNESS:</th>
</tr>
</thead>
<tbody>
<tr>
<td>____________________</td>
<td>Name:</td>
</tr>
<tr>
<td>duly authorised hereto</td>
<td>Position:</td>
</tr>
<tr>
<td>Name:</td>
<td>Signature:</td>
</tr>
<tr>
<td>Position:</td>
<td></td>
</tr>
<tr>
<td>Signature:</td>
<td></td>
</tr>
<tr>
<td>Date:</td>
<td>Registration No of Company/CC</td>
</tr>
<tr>
<td>Place:</td>
<td>Registration Name of Company/CC</td>
</tr>
</tbody>
</table>

Signed at ___________________________ on this _____ day of ______________________ 20___

For and on behalf of ____________________________

duly authorised hereto

Name: ____________________________

Name: ____________________________

Position: ____________________________

Position: ____________________________

Signature: ____________________________

Signature: ____________________________

Date: ____________________________

Registration No of Company/CC ____________________________

Place: ____________________________

Registration Name of Company/CC ____________________________
SECTION 7
B-BBEE PREFERENCE POINTS CLAIM FORM

This preference form must form part of all bids invited. It contains general information and serves as a claim for preference points for Broad-Based Black Economic Empowerment (B-BBEE) Status Level of Contribution.

Transnet will award preference points to companies who provide valid proof of their B-BBEE status using either the latest version of the generic Codes of Good Practice or Sector Specific Codes (if applicable).

NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF B-BBEE, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS, 2022.

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2 The value of this bid is estimated to not exceed R5 000 000 (all applicable taxes included) and therefore the 80/20 preference point system shall be applicable. Despite the stipulated preference point system, Transnet shall use the lowest acceptable bid to determine the applicable preference point system in a situation where all received acceptable bids are received outside the stated preference point system.

1.3 Either the 80/20 preference point system will be applicable to this tender.

1.4 Preference points for this bid shall be awarded for:

(a) Price; and

(b) B-BBEE Status Level of Contribution.

1.5 The maximum points for this bid are allocated as follows:

<table>
<thead>
<tr>
<th>POINTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>PRICE</td>
</tr>
<tr>
<td>80</td>
</tr>
<tr>
<td>B-BBEE STATUS LEVEL OF CONTRIBUTOR</td>
</tr>
<tr>
<td>20</td>
</tr>
<tr>
<td>Total points for Price and B-BBEE must not exceed</td>
</tr>
<tr>
<td>100</td>
</tr>
</tbody>
</table>

1.6 Failure on the part of a bidder to submit proof of B-BBEE status level of contributor together with the bid will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.

1.7 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

2. DEFINITIONS

(a) “all applicable taxes” includes value-added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies;

(b) “B-BBEE” means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;

(c) “B-BBEE status level of contributor” means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard contained in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic.
Empowerment Act;

(d) "bid" means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the supply/provision of services, works or goods, through price quotations, advertised competitive bidding processes or proposals;

(e) "Broad-Based Black Economic Empowerment Act" means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);

(f) "EME" means an Exempted Micro Enterprise as defines by Codes of Good Practice under section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);

(g) “functionality” means the ability of a bidder to provide goods or services in accordance with specification as set out in the bid documents;

(h) “Price” includes all applicable taxes less all unconditional discounts.

(i) “Proof of B-BBEE Status Level of Contributor” means:
   1) B-BBBEE status level certificate issued by an unauthorised body or person;
   2) A sworn affidavit as prescribed by the B-BBEE Codes of Good Practice;
   3) Any other requirement prescribed in terms of the B-BBEE Act.

(j) "QSE" means a Qualifying Small Enterprise in terms of a Codes of Good Practice under section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);

(k) "rand value" means the total estimated value of a contract in South African currency, calculated at the time of bid invitations, and includes all applicable taxes and excise duties.

3. POINTS AWARDED FOR PRICE

3.1 THE 80/20 PREFERENCE POINT SYSTEMS

A maximum of 80 points is allocated for price on the following basis:

\[
P_s = 80 \left(1 - \frac{P_t - P_{\text{min}}}{P_{\text{min}}} \right)
\]

Where

- \(P_s\) = Points scored for comparative price of bid under consideration
- \(P_t\) = Comparative price of bid under consideration
- \(P_{\text{min}}\) = Comparative price of lowest acceptable bid
4. **POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTION**

4.1 In terms of Transnet SCM Policy on preferential procurement and Procurement Manuals, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

<table>
<thead>
<tr>
<th>B-BBEE Status Level of Contributor</th>
<th>Number of points (80/20 system)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>20</td>
</tr>
<tr>
<td>2</td>
<td>18</td>
</tr>
<tr>
<td>3</td>
<td>14</td>
</tr>
<tr>
<td>4</td>
<td>12</td>
</tr>
<tr>
<td>5</td>
<td>8</td>
</tr>
<tr>
<td>6</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>4</td>
</tr>
<tr>
<td>8</td>
<td>2</td>
</tr>
<tr>
<td>Non-compliant contributor</td>
<td>0</td>
</tr>
</tbody>
</table>

4.2 The table below indicates the required proof of B-BBEE status depending on the category of enterprises:

<table>
<thead>
<tr>
<th>Enterprise</th>
<th>B-BBEE Certificate &amp; Sworn Affidavit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Large</td>
<td>Certificate issued by SANAS accredited verification agency</td>
</tr>
</tbody>
</table>
| QSE        | Certificate issued by SANAS accredited verification agency  
Sworn Affidavit signed by the authorised QSE representative and attested by a Commissioner of Oaths confirming annual turnover and black ownership (only black-owned QSEs - 51% to 100% Black owned)  
[Sworn affidavits must substantially comply with the format that can be obtained on the DTI’s website at www.dti.gov.za/economic_empowerment/bee_codes.jsp.] |
| EME³       | Sworn Affidavit signed by the authorised EME representative and attested by a Commissioner of Oaths confirming annual turnover and black ownership  
Certificate issued by CIPC (formerly CIPRO) confirming annual turnover and black ownership  
Certificate issued by SANAS accredited verification agency only if the EME is being measured on the QSE scorecard |

4.3 A trust, consortium or joint venture (including unincorporated consortia and joint ventures) must submit a consolidated B-BBEE Status Level verification certificate for every separate bid.

4.4 Tertiary Institutions and Public Entities will be required to submit their B-BBEE status level certificates in terms of the specialized scorecard contained in the B-BBEE Codes of Good Practice.

4.5 A person will not be awarded points for B-BBEE status level if it is indicated in the bid documents that such a bidder intends sub-contracting more than 25% of the value of the contract to any other enterprise that does not qualify for at least the points that such a bidder qualifies for, unless the intended sub-contractor is an EME that has the capability and ability to execute the sub-contract.

4.6 A person awarded a contract may not sub-contract more than 25% of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the person concerned, unless the contract is sub-contracted to an EME that has the capability and ability to execute the sub-contract.
4.7 Bidders are to note that the rules pertaining to B-BBEE verification and other B-BBEE requirements may be changed from time to time by regulatory bodies such as National Treasury or the DTI. It is the Bidder’s responsibility to ensure that his/her bid complies fully with all B-BBEE requirements at the time of the submission of the bid.

5. **BID DECLARATION**

5.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

6. **B-BBEE STATUS LEVEL OF CONTRIBUTION CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 6.1**

6.1 B-BBEE Status Level of Contribution: . = .......(maximum of 20 points)

(Points claimed in respect of paragraph 6.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor.

7. **SUB-CONTRACTING**

7.1 Will any portion of the contract be sub-contracted?

(Tick applicable box)

| YES | NO |

7.1.1 If yes, indicate:

i) What percentage of the contract will be subcontracted..........................%

ii) The name of the sub-contractor.........................................................

iii) The B-BBEE status level of the sub-contractor..................................

iv) Whether the sub-contractor is an EME or QSE

(Tick applicable box)

| YES | NO |

v) Specify, by ticking the appropriate box, if subcontracting with any of the enterprises below:

<table>
<thead>
<tr>
<th>Designated Group: An EME or QSE which is at last 51% owned by:</th>
<th>EME</th>
<th>QSE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Black people</td>
<td>☑</td>
<td></td>
</tr>
<tr>
<td>Black people who are youth</td>
<td></td>
<td></td>
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<tr>
<td>Black people who are women</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black people with disabilities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black people living in rural or underdeveloped areas or townships</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cooperative owned by black people</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black people who are military veterans</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

OR

<table>
<thead>
<tr>
<th>Any EME</th>
</tr>
</thead>
<tbody>
<tr>
<td>Any QSE</td>
</tr>
</tbody>
</table>

8. **DECLARATION WITH REGARD TO COMPANY/FIRM**

8.1 Name of company/firm:.................................................................

8.2 VAT registration number:............................................................

8.3 Company registration number:....................................................

8.4 **TYPE OF COMPANY/ FIRM**

<table>
<thead>
<tr>
<th>Partnership/Joint Venture / Consortium</th>
</tr>
</thead>
<tbody>
<tr>
<td>One person business/sole propriety</td>
</tr>
<tr>
<td>Close corporation</td>
</tr>
<tr>
<td>Company</td>
</tr>
<tr>
<td>(Pty) Limited</td>
</tr>
</tbody>
</table>
8.5 **DESCRIBE PRINCIPAL BUSINESS ACTIVITIES**

…………………………………………………………………………………………………………………………………………………………

…………………………………………………………………………………………………………………………………………………………

8.6 **COMPANY CLASSIFICATION**

- Manufacturer
- Supplier
- Professional service provider
- Other service providers, e.g. transporter, etc.

[Tick applicable box]

8.7 **Total number of years the company/firm has been in business:** ……………………………

8.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that
the points claimed, based on the B-BBEE status level of contribution indicated in paragraphs 4.1 and 6.1
of the foregoing certificate, qualifies the company/ firm for the preference(s) shown and I / we
acknowledge that:

i) The information furnished is true and correct;

ii) The preference points claimed are in accordance with the General Conditions as indicated in
paragraph 1 of this form;

iii) In the event of a contract being awarded as a result of points claimed as shown in paragraph 4.1 and
6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser
that the claims are correct;

iv) If the B-BBEE status level of contributor has been claimed or obtained on a fraudulent basis or any of
the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy
it may have-

(a) disqualify the person from the bidding process;

(b) recover costs, losses or damages it has incurred or suffered as a result of that
person’s conduct;

(c) cancel the contract and claim any damages which it has suffered as a result of
having to make less favourable arrangements due to such cancellation;

(d) if the successful bidder subcontracted a portion of the bid to another person
without disclosing it, Transnet reserves the right to penalise the bidder up to 10
percent of the value of the contract;

(e) recommend that the bidder or contractor, its shareholders and directors, or only
the shareholders and directors who acted on a fraudulent basis, be restricted by
the National Treasury from obtaining business from any organ of state for a period
not exceeding 10 years, after the *audire alteram partem* (hear the other side) rule
has been applied; and

(f) forward the matter for criminal prosecution.

<table>
<thead>
<tr>
<th>WITNESSES</th>
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<tbody>
<tr>
<td>1. …………………..</td>
</tr>
<tr>
<td>2. …………………..</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>SIGNATURE(S) OF BIDDERS(S)</th>
</tr>
</thead>
<tbody>
<tr>
<td>DATE: …………………..</td>
</tr>
<tr>
<td>ADDRESS …………………..</td>
</tr>
<tr>
<td>………………………..</td>
</tr>
</tbody>
</table>
Liebherr 05 Wheel Direction Control hydraulic Valve Replacement

SCOPE OF WORK
Scope Approval

Compiled By

Vumani Nxumalo
Engineering Technician – Import

Supported By

Maxwell Motloheloa
Technical Manager - Import

Approved By

Bheka Gumede
Engineering Manager
1. **Project Overview**

1.1 **Employer’s objective**
The Employer’s objective is for a contractor to be appointed to replace direction valves for the long travel.

2. **The Scope**

2.1 **General Description of the Works**
A contractor is required to do as follows:

2.1.1. Supply and install 20x wheel directional valves and solenoids.  
Valve Spec : WDPFA06-ACB-32-Z84   #1  
Pmax 350 bar.  
19910101835.  
Each valve to be supplied two solenoids: 24 VDC IG=810Ma.  199101474 02. 19  
(qty40x).  
Supply and install 20x valve block (valve bases).  
Remove 20x valves from the machine.  
Paint newly installed valves and cover with grease tape.  
Install newly supplied valves.  
Commission newly installed valves.

**Valve and solenoid details**
2.2  **Technical Requirements**

- A technical data pack is to be provided on completion of the job.

3.  **Quality Assurance**

To ensure the services are done accordingly, the Contractor shall comply with the following:

- Ensure the service is done using qualified persons
- Implement QC for the job which will allow for Transnet to inspect the work both onsite.

4.  **Warranties and Guaranty**

The contractors are required to provide a warranty for the workmanship.

5.  **Health and Safety Requirements**

The Contractor shall comply with the following to ensure safety for all onsite work:

- The OHSAct in terms of statutory requirements for all lifting gear.
- Lockout and Isolation Procedure

6.  **Returnable Documents (Tech Evaluation)**

To compare the contractors’ technical capabilities, all bidders are required to provide the following documentation which will be used in the technical evaluation (see the next page)
<table>
<thead>
<tr>
<th>CRITERIA</th>
<th>DESCRIPTION</th>
<th>WEIGHT (Points) %</th>
<th>SCORING PRINCIPAL</th>
<th>Supplier 1</th>
<th>Supplier 2</th>
<th>Supplier 3</th>
<th>Supplier 4</th>
<th>Supplier 5</th>
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</thead>
<tbody>
<tr>
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<td></td>
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<td></td>
<td>Score</td>
<td>Comments</td>
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</tr>
<tr>
<td>1. CIDB</td>
<td>CIDB registration (3ME)</td>
<td>N/A</td>
<td>CIDB Registration of 3ME</td>
<td>Yes/No</td>
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<tr>
<td>2. Competency</td>
<td>The service provider must send proof of similar work done (major hydraulic work) in the past 2 years by sending 2 purchase orders with a value of at least R200k</td>
<td>N/A</td>
<td>? PO's provided</td>
<td>Yes/No</td>
<td></td>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

Requested by:
Name: Maxwell Motloheloa
Designation: Technical Manager
Sign: [Signature]
Date: 30/01/2023

Approved by:
Name: Bheka Gumede
Designation: Engineering Manager
Sign: [Signature]
Date: 30/01/2023